



Attorney Pocket No. 980689/HG

**IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE**

Applicant(s): Shinichi KURAKATA et al.

Serial No. : 09/212,556

Filed : December 16, 1998

For : METHODS AND COMPOSITIONS
FOR THE TREATMENT AND
PREVENTION OF TUMORS...

Art Unit : 1623

Examiner : Howard Owens, Jr.

INQUIRY RE INFORMATION DISCLOSURE STATEMENT

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

S I R :

Enclosed herewith are a copy of the INFORMATION DISCLOSURE STATEMENT dated October 19, 1999 and the postcard evidencing receipt thereof in the Patent Office. Although a large number of PTO-1449 forms were returned with the last Office Action, the one associated with this INFORMATION DISCLOSURE STATEMENT was not returned.

A large number of documents were filed with the INFORMATION DISCLOSURE STATEMENT. To avoid losses within the Patent Office, the documents were hand carried directly to the Examiner's Group. Therefore, it is believed that the documents are in the Examiner's Group and not lost elsewhere.

It is requested that the documents be considered with respect to the patentability of the present application and that

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date noted below.

Attorney: Marshall J. Chick

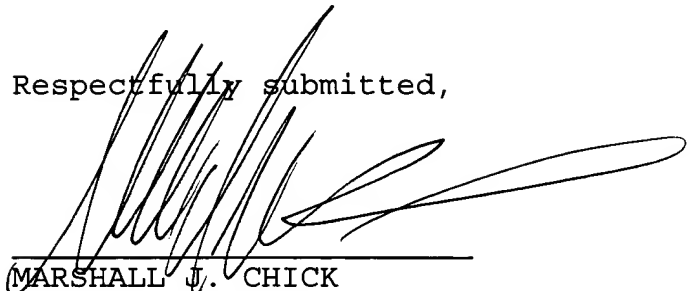
Dated: April 18, 2001

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by check attached hereto, authorization to charge the extension fee, or any other fee required in connection with this Paper, to Account No. 06-1378.

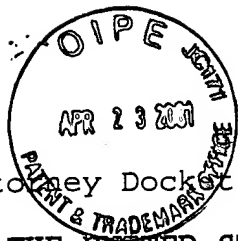
an initialed copy of the PTO-1449 form be returned to indicate such consideration.

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Respectfully submitted,



MARSHALL J. CHICK
Reg. No. 26,853



Attorney Docket No. 980689/HG

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AND TRADEMARK OFFICE

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Serial No. : 09/212,556

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For : METHODS AND COMPOSITIONS
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Dated: October 19, 1999

Art Unit : 1614

Examiner : Howard Owens, Jr.

COPY

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents

S I R :

A copy of each of the publications identified in the enclosed form PTO-1449 is enclosed herewith. Some, but not all, of the publications identified on the enclosed form PTO-1449 are identified in the present specification, pages 2 and 3.

The patent family relationship between each of the identified United States patents and foreign patent publications is set forth in the table below.

U.S. Patents

Foreign Patent Publications

5,932,598

WO 97/38986

5,908,858

EP 799,823 A

5,380,738

WO 94/27980

5,633,272

WO 96/25405

5,616,601

WO 96/03388

5,620,999

WO 96/03387

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U.S. Patents

5,486,534

5,344,991

5,418,254

5,521,207

5,474,995

Foreign Patent Publications

WO 96/03385

WO 95/11883

WO 95/30656

WO 95/15316


WO 95/00501

Consideration of the publications identified on the enclosed form PTO-1449 is solicited.

No PTO fee is required because this paper will be filed before the first Office Action on the merits. This statement is made based on a telephone conversation with Examiner Owens who stated that he would shortly issue a restriction requirement. Because of the large volume of publications, this paper and the attachments will be hand delivered to the Examining Group and a telephone call will be placed to Examiner Owens informing him of this.

Consideration is again solicited for the INFORMATION DISCLOSURE STATEMENT AND STATEMENT UNDER 37 CFR 1.97(e) and the publications identified on the Form PTO-1449 attached thereto (all of which were filed on June 28, 1999).

Respectfully submitted,


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Reg. No. 17,081

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